

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 09792909-6522 U.S. APPLICATION NO. (if known) (see 37 CFR 1.5) <b>107565222</b>
INTERNATIONAL APPLICATION NO. PCT/JP2004/010684	INTERNATIONAL FILING DATE July 21, 2004	PRIORITY DATE CLAIMED July 25, 2003
TITLE OF INVENTION MEMS RESONATOR, METHOD FOR MANUFACTURING THEREOF AND COMMUNICATION APPARATUS		
APPLICANT(S) FOR DO/EO/US Koji Naniwada		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input checked="" type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

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PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
10/565222		PCT/JP2004/010684		09792909-6522			
20. Other items or information: 11 sheets of drawings (Figs. 1-15) PTO Form 210 Redlined copy of specification							
The following fees have been submitted				<table border="1"> <tr> <th>CALCULATIONS</th> <th>PTO USE ONLY</th> </tr> </table>		CALCULATIONS	PTO USE ONLY
CALCULATIONS	PTO USE ONLY						
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300.00			
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))							
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200				\$ 200.00			
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))							
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500				\$ 500.00			
TOTAL OF 21, 22 and 23 =				1,000.00			
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE				
55 - 100 =	0 /50 =	0	x \$250	\$			
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$			
Total claims	13 - 20 =		x \$ 50	\$			
Independent claims	5 - 3 =	2	x \$200	\$ 400.00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$			
TOTAL OF ABOVE CALCULATIONS =				\$ 1,400.00			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							
SUBTOTAL =				\$ 1,400.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+			
TOTAL NATIONAL FEE =				\$ 1,400.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+			
TOTAL FEES ENCLOSED =				\$ 1,400.00			
				Amount to be refunded:	\$		
				Amount to be charged	\$1,400.00		

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10/565222  
20 JAN 2006

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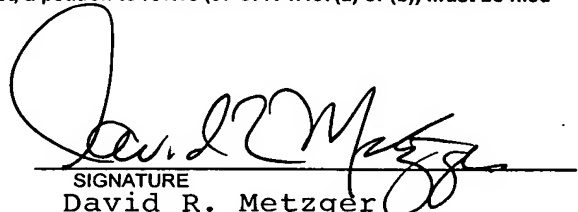
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- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
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A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

SONNENSCHNEIN, NATH & ROSENTHAL LLP  
P.O. Box 061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606-1080  
Tel. 312/876-8000  
Customer #26263

  
SIGNATURE  
David R. Metzger  
NAME  
32,919  
REGISTRATION NUMBER

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Date of Deposit: January 20, 2006

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

National U.S. phase application based on PCT application No. PCT/JP04/010684 including the following:

Applicant(s): Koji Naniwada

Attorney Docket No. 09792909-6522

\$1,400.00 filing fee (13 claims - 5 independent) (charged to AMEX account)

English translation of PCT/JP04/010684 - 41 pages

Executed Declaration

11 sheets of Drawings (Figs. 1-15)

Preliminary Amendment

Substitute Specification

Redlined Copy of Specification

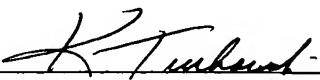
Information Disclosure Statement, PTO Form 1499 and references cited

Form 2038

Request

PTO Forms 210

Return postcard

  
\_\_\_\_\_  
Signature of Person Mailing Application and Fees

VERIFICATION OF A TRANSLATION

I, the below named translator, hereby declare that:

My name and Post Office Address are as stated below;

That I am knowledgeable about the English language and about the language in which the below identified International Application was filed, and that I believe the English translation of the International Application No. PCT/JP2004/010684 is a true and complete translation of the above identified International Application as filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: January 11, 2006

Full name of the translator:

Tadashi Shigeyama

Signature of the translator:

Tadashi Shigeyama

Post Office Address:

c/o Shin-yu International  
Patent Firm  
Shinjuku Bldg., 8-1,  
Nishishinjuku 1-chome,  
Shinjuku-ku, Tokyo, Japan